# An Optimal Transport Approach to Robust Reconstruction and Simplification of 2D Shapes

#### Fernando de Goes, David Cohen-Steiner, Pierre Alliez, Mathieu Desbrun

March 22, 2018

Given a point set S and considering S as a measure  $\mu$  consisting of Dirac masses, find a coarse simplicial complex T such that  $\mu$  is well approximated by a linear combination of uniform measures on the edges and vertices of T.

Optimal transport formulation allows for a unified treatment of noise, outliers, boundaries and sharp features. None of the previous work could handle all of these concurrently.

**Delaunay Triangulation(DT)** - Given a point set P, DT(P) is a triangulation of P such that no point in P lies inside the circumcircle of any triangle in DT(P). Such a triangulation can be computed in time  $O(n \log n)$ .

**Half-edge** - A half-edge is a directed line segment described by an origin vertex and a destination vertex.

**One-ring of a point** - The one-ring of a point x in a triangulation  $\mathcal{T}$  is the set of all vertices adjacent to x in  $\mathcal{T}$ .

**Kernel of a polygon** P - This is a non-empty set K of points in the interior of P such that there exists a line segment from every point in K to every other point in P lying entirely inside P.

**Flippable edge** - An edge e in a triangulation  $\mathcal{T}$  is called flippable if its end points and its two opposite vertices form a convex quadrilateral.

## Shape reconstruction algorithm

- Input point set  $S = \{p_1, \ldots, p_n\}$
- 2 Construct Delaunay triangulation  $\mathcal{T}_0$  of  $\mathcal{S}$ .
- Sompute initial transport plan  $\pi_0$  from S to  $\mathcal{T}_0$ .
- Set k = 0.
- **Solution Repeat** steps 6-11 **Until** desired vertex count is obtained.
- **O** Pick best half-edge  $e = (x_i, x_j)$  to collapse (simplification).
- Create  $\mathcal{T}_{k+1}$  by merging  $x_i$  onto  $x_j$ .
- $\pi'_{k+1} := \pi_k$  with local reassignments (update transport).
- **Optimize** position of vertices in the one-ring of  $x_i$  (vertex relocation).
- $\pi_{k+1} := \pi'_{k+1}$  with local reassignments (update transport).
- $0 k \to k+1.$
- Filter edges based on relevance (optional).

- Let S = {p<sub>i</sub>}<sub>i∈I</sub> denote the input point set. Every point p<sub>i</sub> is seen as a Dirac measure μ<sub>i</sub> centered at p<sub>i</sub> and of mass m<sub>i</sub>. The point set is thus considered as a measure μ = Σ<sub>i</sub> μ<sub>i</sub>.
- Assume that we are given a triangulation *T* and a *point to simplex* assignment which maps every point p<sub>i</sub> to either an edge e or a vertex v of *T*.
- Each vertex v of T is seen as a Dirac measure and every edge e is a uniform 1D measure defined over the edge e.

- For every vertex v of T, let S<sub>v</sub> denote the set of points of S assigned to the vertex v.
- For every edge *e* of *T*, let *S<sub>e</sub>* denote the set of points of *S* assigned to the edge *e*.
- Assume these sets are disjoint with  $\cup_{v \in \mathcal{T}} S_v \cup \cup_{e \in \mathcal{T}} S_e = S$ .
- Let  $M_v$  denote the total mass of  $S_v$  and  $M_e$  denote the total mass of  $S_e$ . Then,  $\sum_{e \in \mathcal{T}} M_e + \sum_{v \in \mathcal{T}} M_v = \sum_i m_i$ .
- Let  $\pi$  denote the transport plan satisfying the *point to simplex* assignment and  $W_2(\pi)$  its transport cost.

## **Optimal Transport Cost**

 Points to Vertex - For a vertex v ∈ T, the cost to transport the measure S<sub>v</sub> to the Dirac measure centered on v with mass M<sub>v</sub> is given by

$$\mathcal{W}_2(\mathbf{v}, \mathcal{S}_{\mathbf{v}}) = \sqrt{\sum_{p_i \in \mathcal{S}_{\mathbf{v}}} m_i ||p_i - \mathbf{v}||^2}$$

 Points to Edge - For an edge e, the transport plan is decomposed into a normal and a tangential component to e. For every p<sub>i</sub> ∈ S<sub>e</sub>, let q<sub>i</sub> denote the orthogonal projection of p<sub>i</sub> onto e. The transport cost N of the normal plan is given by

$$N(e, \mathcal{S}_e) = \sqrt{\sum_{p_i \in \mathcal{S}_e} m_i ||p_i - q_i||^2}.$$

<ロ><回><一><一><一><一><一><一</td>8/16

The tangential plan is obtained as follows:

- The projected points  $\{q_i\}$  are sorted along e and the edge is partitioned into  $|S_e|$  segment bins, with the *i*-th bin having length  $l_i = (m_i/M_e)len(e)$ . Here, len(e) denoted the length of edge e.
- Consider a point p<sub>i</sub> of mass m<sub>i</sub> that projects onto q<sub>i</sub> on edge e. Set a 1D coordinate axis along the edge with origin at the center of the *i*-th bin and let c<sub>i</sub> be the coordinate of q<sub>i</sub> in this coordinate axis. The tangential cost t<sub>i</sub> of p<sub>i</sub> is given by

$$t_i = \frac{M_e}{len(e)} \int_{-l_i/2}^{l_i/2} (x - c_i)^2 dx = m_i \left(\frac{l_i^2}{12} + c_i^2\right).$$

9/16

The tangential component of the optimal transport cost for an edge e is given by

$$T(e, \mathcal{S}_e) = \sqrt{\sum_{p_i \in \mathcal{S}_e} m_i \left(\frac{l_i^2}{12} + c_i^2\right)}.$$

Note that the above definition of tangential cost ensures that the boundaries and features are preserved.

The total cost to transport S to T through the transport plan  $\pi$  is therefore given by

$$W_2(\pi) = \sqrt{\sum_{e \in \mathcal{T}} [N(e, \mathcal{S}_e)^2 + T(e, \mathcal{S}_e)^2] + \sum_{v \in \mathcal{T}} W_2(v, \mathcal{S}_v)^2}.$$

Given a triangulation  $\mathcal{T}$ , an assignment of the point set  $\mathcal{S}$  to the vertices and edges of  $\mathcal{T}$  is given as follows:

- Each point *p<sub>i</sub>* is first temporarily assigned to the closest edge of the simplicial complex.
- This results into a partition of S into subsets  $S_e$ .
- For every edge e, the points in  $S_e$  are either kept assigned to e or every point of  $S_e$  is assigned to its closest endpoint of e.
- The assignment that minimizes the optimal transport cost is chosen.

## Shape reconstruction algorithm

- Input point set  $S = \{p_1, \ldots, p_n\}$
- 2 Construct Delaunay triangulation  $\mathcal{T}_0$  of  $\mathcal{S}$ .
- Sompute initial transport plan  $\pi_0$  from S to  $\mathcal{T}_0$ .
- Set k = 0.
- **Solution Repeat** steps 6-11 **Until** desired vertex count is obtained.
- **•** Pick best half-edge  $e = (x_i, x_j)$  to collapse (simplification).
- Create  $\mathcal{T}_{k+1}$  by merging  $x_i$  onto  $x_j$ .
- $\pi'_{k+1} := \pi_k$  with local reassignments (update transport).
- **Optimize** position of vertices in the one-ring of  $x_i$  (vertex relocation).
- $\pi_{k+1} := \pi'_{k+1}$  with local reassignments (update transport).
- $0 k \to k+1.$
- Filter edges based on relevance (optional).

- Collapsing an edge changes a triangulation  $\mathcal{T}_k$  to a triangulation  $\mathcal{T}_{k+1}$ and thus changes the cost by  $\delta_k = W_2(\pi_{k+1}) - W_2(\pi_k)$ .
- Since the goal is to minimize the increase in total cost, edge collapses are applied in increasing order of δ.
- Therefore, all feasible collapses are initially simulated and their associated  $\delta$  is added to a dynamic priority queue sorted in increasing order.
- Edge collapse is done by repeatedly popping from the queue the next edge to collapse, performing the collapse, updating the transport plan and cost and updating the priority queue.
- Note that updating the transport involves only the edges in the one-ring of the removed vertex and updating the priority queue is required for edges incident to the modified one ring.

- A half-edge is called **collapsible** if its collpase creates neither overlaps nor fold-overs in the triangulation.
- Every edge is made collapsible by the following procedure: let  $(x_i, x_j)$  denote the edge we want to collapse. Let  $P_{x_i}$  denote the counter-clockwise oriented polygon formed by the one-ring of  $x_i$  and let  $K_{x_i}$  denote its kernel. An edge  $(a, b) \in P_{x_i}$  is *blocking*  $x_j$  if the triangle  $(x_j, a, b)$  has clockwise orientation.
- The edge (a, b) is removed from  $P_{x_i}$  by flipping either  $(a, x_i)$  or  $(b, x_i)$ . Note that one of these edges is flippable.
- The flipping of blocking edges is continued until there are no blocking edges in  $P_{x_i}$ .

- The triangulations obtained by edge collapses have their vertices on the input points. However, the presence of noise and missing data make interpolated triangulations poorly adapted to recover features.
- To overcome this problem, vertex relocation is performed after every edge collapse.
- The square of the normal part of the  $W_2$  cost associated with a vertex v of  $\mathcal{T}$  is given by

$$\sum_{p_i\in\mathcal{S}_{\mathbf{v}}}m_i||p_i-\mathbf{v}||^2+\sum_{b\in\mathcal{N}_1(\mathbf{v})}\sum_{p_i\in\mathcal{S}_{(\mathbf{v},b)}}m_i||p_i-q_i||^2.$$

The optimal position  $v^*$  of v is computed by equating the gradient of the above expression to zero.

- The presence of noise and outliers can lead to a few undesirable solid edges in the triangulation.
- Therefore, the solid edges are eliminated based on a notion of relevance r<sub>e</sub>, given by

$$r_e = \frac{M_e len(e)^2}{N(e, \mathcal{S}_e)^2 + T(e, \mathcal{S}_e)^2}$$

16/16